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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,512	02/11/2000	Mototsugu Abe	9792909-4921

Sonnenschein Nath & Rosenthal  
P. O. Box 061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606-1080

COPY OF PAPERS  
ORIGINALLY FILED

CONFIRMATION NO. 3858

FORMALITIES LETTER



\*OC000000007225014\*

Date Mailed: 12/21/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 10/12/2001 to the Notice to File Missing Parts (Notice) mailed 02/08/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The oath or declaration is unsigned.
- Late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 was not received.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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BOX MISSING PARTS



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Mototsugu Abe, et al. ATTY. DOCKET NO. 09792909-4921  
SERIAL NO. 09/734,512 GROUP ART UNIT: 2615  
DATE FILED: December 11, 2000 EXAMINER:  
INVENTION: "AUDIO SIGNAL PROCESSING METHOD, AUDIO SIGNAL  
PROCESSING APPARATUS, HI-FE VIDEO APPARATUS, DIGITAL  
VIDEO APPARATUS AND 8MM VIDEO APPARATUS"

SUBMISSION OF MISSING PARTS OF APPLICATION

Assistant Commissioner of Patents  
Washington, D.C. 20231

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S I R:

In response to the Notice of Incomplete Reply (NonProvisional) dated December 21, 2001, the undersigned submits an executed Oath and Declaration as executed by the inventor(s) on April 20, 23, May 2 and 8, 2001. Also enclosed is a copy of the Cover Letter For Resending Correspondence dated January 3, 2002, which was mailed to applicants' attorneys in response to a telephone call to the U.S.P.T.O. regarding the Notice to File Missing Parts, which had never been received by applicants' attorneys. Please note that the above-identified patent application was filed by another firm, Limbach & Limbach, which was dissolved early in 2001. Any correspondence sent by the U.S.P.T.O. to that firm regarding applications which had been reassigned to other firms was delayed in reaching the new firms. It was not until applicants' new attorneys received the Notice of Incomplete Reply dated December 21, 2001, when a telephone call was made to the U.S.P.T.O. regarding this application that the existence of the Notice to File Missing Parts was known.

The Commissioner is requested to charge the amount of \$130.00 to cover the submission fee for missing parts under 37 C.F.R. §1.16(e) to Deposit Account No. 19-3140. However, the

Commissioner is authorized to charge any deficiencies or credit any overpayments to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed for that purpose.

Respectfully submitted,

 (Reg. No. 32,919)

David R. Metzger  
SONNENSCHN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station - Sears Tower  
Chicago, Illinois 60606  
Telephone 312/876-8000  
Customer ID #26263  
Attorneys for Applicants

CERTIFICATE OF MAILING

I hereby certify that a true copy of the foregoing Submission of Missing Parts was forwarded to the United States Patent Office via U.S. First Class mail on January 14, 2002.





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/734,512	12/11/2000	Mototsugu Abe	9792909-4921

FEB 11 2002

PATENT &amp; TRADEMARK OFFICE

CONFIRMATION NO. 3858

RESEND COVER LETTER



\*OC000000007262646\*

Sonnenschein Nath & Rosenthal  
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Wacker Drive Station - Sears Tower  
Chicago, IL 60606-1080

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Date Mailed: 01/03/2002

## COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 12/21/2001 is enclosed. The time period for reply continues to run from the mail date of that Notice.

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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FEB 11 2002

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/734,512	02/11/2000	Mototsugu Abe	9792909-4921

CONFIRMATION NO. 3858

RESEND COVER LETTER



\*OC000000007262636\*

Sonnenschein Nath & Rosenthal  
P. O. Box 061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606-1080

Date Mailed: 01/03/2002

## COVER LETTER FOR RESENDING CORRESPONDENCE

A courtesy copy of the Notice mailed on 02/08/2001 is enclosed. The time period for reply continues to run from the mail date of that Notice.

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/734,512	12/11/2000	Mototsugu Abe	SONY-U0528

Charles P. Sammut  
Limbach & Limbach L.L.P.  
2001 Ferry Building  
San Francisco, CA 94111

CONFIRMATION NO. 3858

FORMALITIES LETTER



\*OC000000005747919\*

Date Mailed: 02/08/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*fhairc*  
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PART 3 - OFFICE COPY

